



JC10 Recd PCT/PTO 05 FEB 2002

PATENT  
Attorney Docket No. NBA-001CP  
#0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Afeyan  
SERIAL NO.: 09/914,110 GROUP NO.: Not yet assigned  
FILING DATE: August 22, 2001 EXAMINER: Not yet assigned  
TITLE: Method and System Constituting A Virtual Collective Entity For Market Efficient Retail Purchase of Goods and Services

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 4th day of January, 2002.

  
Sarah J. Blackwell

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Certificate of First Class Mailing (1 pg.); Transmittal Form (1 pg.); Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pgs.); Fee Transmittal (1 pg.); Petition For Extension of Time Under 37 CFR 1.136(a) (One Month Extension of Time) (1 pg.); Declaration and Power of Attorney For Utility or Design Patent Application (3 pgs.); Two checks in the amounts of \$65.00 and \$55.00; and patent postcard.

02/08/2002 MNNGUYEN 00000104 09914110

01 FC:254

65.00 0P

**TRANSMITTAL  
FORM**

FEB 05 2002

OPI  
JG

Application Serial Number	09/914,110
Filing Date	August 22, 2001
First Named Inventor	Afeyan
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	NBA-001CP
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Two Checks Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings including Drawings [Total Sheets _____]  <input checked="" type="checkbox"/> Petition for Extension of Time  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the Untied States Designated/Elected Office (DO/EO/US) (2 pgs.)
---	---	---

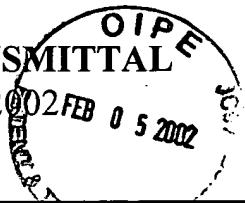
**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,

  
 Brian A. Fairchild  
 Agent for Applicant(s)  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110

**FEE TRANSMITTAL**
**FY 2002 FEB 05 2002**

*Complete if Known*

Application Serial Number	09/914,110
Filing Date	August 22, 2001
First Named Inventor	Afeyan
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	NBA-001CP

**METHOD OF PAYMENT**

1.  Payment Enclosed:
  - Checks  Money Order  Other
2.  The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
  - Required Fees (copy of this sheet enclosed).
  - Additional fee required under 37 CFR 1.16 and 1.17.
  - Overpayment Credit.
3.  Applicant claims small entity status.

**FEE CALCULATION**
**1. FILING FEE**
**Large Entity**

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	
330	Design filing fee	
160	Provisional filing fee	
	Number Filed	Number Extra
		Rate
Total Claims	- 20 =	x \$ 18.00 =
Independent Claims	- 3 =	x \$ 84.00 =
<input type="checkbox"/> Multiple Dependent Claim(s), if any		\$280.00 =
TOTAL:		
SMALL ENTITY DISCOUNT:		
SUBTOTAL (1) (\$)		0.00

**2. AMENDMENT CLAIM FEES**

Claims	Highest No.	Present	Rate	Fee Paid
Remaining	Previously	Extra		
After Amend.	Paid For			
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 84.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$280.00 =	
TOTAL:			(\$)	
SMALL ENTITY DISCOUNT:			(\$)	
SUBTOTAL (2)			(\$)	0.00

**SUBTOTAL (3) (\$)**
**SUBTOTAL (1) 0.00**  
**SUBTOTAL (2) 0.00**  
**SUBTOTAL (3) 120.00**
**TOTAL (\$)** **120.00**
**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

 Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,

 Brian A. Fairchild  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110

FEB 05 2002

COPY

SEARCHED INDEXED MAILED FEB 05 2002 USPTO FOR PATENTS, THE PCT, UNITED STATES Patent and Trademark Office, Washington, D.C. 20231. www.uspto.gov		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,110	Afeyan	NBA-001CP

Edmund R Pitcher  
 Testa Hurwitz & Thibeault  
 125 High Street  
 High Street Tower  
 Boston, MA 02110

INTERNATIONAL APPLICATION NO.

PCT/US00/04369

I.A. FILING DATE	PRIORITY DATE
02/22/2000	02/22/1999

CONFIRMATION NO. 9343  
 371 FORMALITIES LETTER



\*OC00C000006850921\*

Date Mailed: 10/05/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

**BARBARA A CAMPBELL**

---

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,110	PCT/US00/04369	NBA-001CP